

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 10/506,492 Confirmation No. 1386  
Applicant : Toshihiko Ushiro, et al.  
Filed : September 3, 2004  
T.C./A.U. : 2874  
Examiner : Rhonda S. Peace  
Docket No. : 39.049  
Customer No. : 29453

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The attached documents listed on the accompanying Form PTO/SB/08 are hereby brought to the Examiner's attention in the patent application identified above.

### **REMARKS**

All of the presently submitted references, i.e., Cite Nos. 1 through 3 as listed under "Foreign Patent Documents" on Form PTO/SB/08, are not in English. These references were cited in a communication from the Japanese Patent Office in a counterpart Japanese application.

Of the eight references cited in the JPO communication, Japanese Patent Application Publication Nos. 2000-137138, S61-230107, H10-062625, and the non-patent literature document entitled "Diffractive optical isolator made of high-efficiency dielectric gratings only," by T. Glaser, have already been submitted in an IDS dated August 31, 2004 in the instant case (listed by Cite Nos. F2 through F5, and O1, respectively). Because they are thus cumulative to material already made of record, the references are not being submitted here.

**Concise Explanation of Relevance/Copy of Translation**  
**Pursuant to 37 C.F.R. § 1.98(a)(3)**

As a concise explanation of the relevance of the presently submitted Japanese-language references, an English translation of the Japanese Patent Office communication in its entirety is submitted herewith. The JPO communication was in Japanese Pat. App. No. 2002-363345, the foreign patent application on the basis of which priority is claimed in the present application. (A copy of the Japanese Patent Office communication in its entirety follows the English translation.)

Furthermore, English abstract of Cite No. 1 is available on the Japanese Patent Office website through Patent Abstracts of Japan (PAJ), and a copy of the corresponding abstract is attached to the back of the reference. In addition, a partial English translation of Cite No. 2 has been made available to Applicant's representative and is being submitted herewith. The English abstract being provided for Cite No. 3 is from Thomson Derwent, and is an English rendering of the US corresponding application among the patent application family. (Following the abstract from the US family member is an English abstract from a family-member EP application.)

**STATEMENT AS SPECIFIED IN 37 C.F.R. § 1.97(e)**

The undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

The Examiner is respectfully requested to consider these references, and to indicate so on the accompanying Form PTO/SB/08 and make the document of record in the present application.

Respectfully submitted,

May 10, 2006

/James Judge/

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10506492	
	Filing Date		2004-09-03	
	First Named Inventor	Toshihiko Ushiro		
	Art Unit	2874		
	Examiner Name	Rhonda S. Peace		
	Attorney Docket Number	39.049		

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	JP-2001-236002	JP	A	2001-08-31	Japan Science & Technology Corp.		<input checked="" type="checkbox"/>
	2	JP-S59-220705	JP	A	1984-12-12	Alfredo Yi-Yan		<input checked="" type="checkbox"/>
	3	JP-S62-278264	JP	A	1987-12-03	Perkin-Elmer Corp.		<input checked="" type="checkbox"/>

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	First Named Inventor	Toshihiko Ushiro	
	Art Unit	2874	
	Examiner Name	Rhonda S. Peace	
	Attorney Docket Number	39.049	

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.